

## Wells Investment Securities, Inc. Business Continuity Summary Disclosure Statement

Wells Investment Securities, Inc. (“WIS”), an affiliate of Wells Real Estate Funds, Inc. (“Wells”), has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will have to be flexible when responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

**Contacting Us** — If after a Significant Business Disruption (“SBD”) you cannot contact us as you usually do at 770-449-7800 or 800-557-4830, you should go to the Wells website at [www.WellsREF.com](http://www.WellsREF.com) to obtain an alternate phone number and additional contact information.

**Our Business Continuity Plan** — We plan to quickly recover and resume business operations after an SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our Firm to resume operations as quickly as possible, given the scope and severity of the SBD.

*Our business continuity plan addresses:*

- data backup and recovery;
- all mission-critical systems;
- financial and operational assessments;
- alternative communications with customers, employees, and regulators;
- alternate physical location of employees;
- critical supplier, contractor, bank, and counter-party impact;
- regulatory reporting; and
- prompt access to customer accounts.

**Varying Disruptions** — SBDs can vary in their scope, such as only our firm, our main office location, the business district where our firm is located, the city where we are located, or the entire region. Within each of these areas, the severity of the disruption also can vary from minimal to severe. In a disruption to only our firm or main office location, we will transfer our operations to a local site when needed and expect to recover and resume business within 24 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside the affected area, and recover and resume business within 72 hours. Our ability to recover and resume business in this time frame will be determined by the severity of the disruption. In either situation, we plan to continue in business, transfer operations to our alternate location, and notify you through our website ([www.WellsREF.com](http://www.WellsREF.com)) or our Client Services number 1-800-557-4830, as to how to contact us. If the SBD is so severe that it prevents us from remaining in business, we will assure our customers’ access to their funds and securities.

**For more information** — If you have questions about our business continuity planning or would like to request updated summaries, you may call 800-557-4830 or send a written request to:

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